

## Addendum 2: Fund(s) Management

**Note: A specialist product is available for your investment management operations and funds. Ask your insurance broker or agent about AIG 's "Investment Professionals Protector (IPP)".**

**A2.1** Please detail for all investment management subsidiaries. (please attach an additional sheet as necessary):

EMBED Excel.Sheet.8

### A2.2

Please detail for all **Funds** managed by an investment management subsidiary which is proposed for insurance above (please attach an additional sheet as necessary):

EMBED Excel.Sheet.8

**A2.3** Please detail the following in respect of the **Funds** management activities:

	Total <b>AUM</b> :		
	Total <b>AUM</b> in <b>Funds</b> :		
	Total <b>AUM</b> in private client mandates or managed on a sub-advisory basis:		
	Asset value of the largest account:		
	Total number of accounts lost:		
	Total value of lost accounts:		
	Total <b>AUM</b> managed on a discretionary basis:		

	Total <b>AUM</b> managed on a non-discretionary basis:		
	Estimate of the percentage of the <b>AUM</b> invested in listed securities:		
	Estimate of the percentage of the <b>AUM</b> invested in unlisted-securities/ private equity/ venture capital:		
	Estimate of the percentage of the <b>AUM</b> invested in real property assets:		
	Estimate of the percentage of the <b>AUM</b> invested in derivatives or a specialist investment strategy (including hedge funds):		

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Please provide the percentage split of investor base by type out of total **AUM** in respect of the **Applicant**:

Governments:				
	Corporates/ Financial Institutions:			
	Trusts/ Family Trusts:			

	High Net Worth Individuals/ Accredited Investors (Non-US domiciled):			
	High Net Worth Individuals/ Accredited Investors (US domiciled):			
	Non-accredited/ Retail Investors (Non-US domiciled):			
	Non-accredited/ Retail Investors (US domiciled):			
	Others, (please specify):  _____ _____ _____			

## Declaration

The undersigned authorised Chairman of the Board, President or General Partner of the **Applicant**:

declares that this **Addendum** has been completed after full enquiry and that the statements and particulars herein are true and that no material facts have been misstated or omitted; and

agrees that if the information supplied in this **Addendum** changes between the date of this **Addendum** and the effective date of the insurance, he/ she (undersigned) will, in order for the information to be accurate on the effective date of the insurance, immediately notify the Insurer of such changes, and the Insurer may withdraw or modify any outstanding quotations and/or authorisations or agreements to bind the insurance; and

agrees that this **Addendum** will be attached to and become part of the **Proposal**, which shall be the basis of the contract should a policy be issued.

Signed

Title

(Must be signed by Chairman of the Board, President or General Partner)

Company

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Date

***SIGNING THIS ADDENDUM DOES NOT OBLIGE THE APPLICANT TO PURCHASE ANY INSURANCE***

FI Civil Liability Insurance – Addendum (0107)

## **Financial Institutions Civil Liability Insurance - Addendum**

AIG Insurance Hong Kong Limited

AIG Insurance Hong Kong Limited



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